AXXCESS
WEALTH MANAGEMENT

Client-Directed Rollover Acknowledgment Form

Instructions: This form is to be utilized when a client is informing __Axxcess Wealth Management, LLC__ (herein the "Advisor") that the client intends to initiate and execute a rollover of the client assets from a retirement account into an Individual Retirement Account ("IRA") managed by the Advisor.

Client Information

First Name: ____________________________________ Last Name: ____________________________________

Distribution Information

The distribution is from the following Retirement Account: (Select one option below):

- ☐ 401(k)
- ☐ 403(b)
- ☐ Pension Plan
- ☐ Profit Sharing Plan
- ☐ Individual Retirement Account (Roth or IRA)
- ☐ Simple IRA
- ☐ Other (Please describe): ____________________________

The distribution is in the approximate amount of:

Approximate Rollover/Transfer Amount: $____________________

The distribution shall be deposited to the following account managed by the Advisor:

Account Name: ____

Account #: ____

Signature and Acknowledgement

I, ___________________________________, hereby elect to roll over my retirement account to the account designated above. I represent that this transaction was not recommended by the Advisor, and by signing this form, I am acknowledging that the Advisor is only acting on my request to roll over my retirement account into an account managed by the Advisor.

I certify that I am directing my Advisor to execute this rollover and agree to hold harmless the Advisor for any investment losses, tax consequences, penalties, or otherwise negative implications from having directed the Advisor to execute this transaction.

I certify that the information provided is true and correct to the best of my knowledge and release the Advisor from any claims or liability related to the rollover and/or the processing thereof, including whether the deposit is in compliance with the Internal Revenue Code and is an "eligible rollover distribution."

Client Signature: ____ **Date________________**